

\$ 2138 #3/10-279 V-Janes

**Patent** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) MECEIUS
Colleen A. BARTON, et al.	) Examiner: Not yet assigned 2001
Serial No.: 09/657,759	) Art Unit Group: 2131
Filing Date: September 8, 2000	I hereby certify that this correspondence is being deposited     with the United States Postal Service as first class mail with
For: METHOD AND LOGIC FOR CAPTURING AND ANALYZING CONDUIT DATA	sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  on
Box DAC Assistant Commissioner of Patents Washington, D.C. 20231	Name of Person Mailing Correspondence    Name of Person Mailing Correspondence   D-Q-O     Signature   Date

## PETITION TO CORRECT INVENTORSHIP under 37 C.F.R. 1.48(a)

Sir:

The above referenced patent application was filed in the names of the following inventors:

- 1. BARTON, Colleen A.
- 2. MOOS, Daniel

The originally named inventors subsequently discovered that an additional inventor, ZOBACK, Mark, should be joined, and was omitted by error and without deceptive intent.

#### Submitted herewith are:

- A Statement of Facts from the person being added as an inventor, namely Mark ZOBACK, to the effect that the error occurred without deceptive intention on his part;
- (2) Declarations under 37 C.F.R. 1.63 by each of the actual inventors; 10/17/2001 SDENBOB1 00000004 09657759 130.00 OP

- (3) The petition fee in the amount of \$130.00 set forth in 37 C.F.R. § 1.17(i);
- (4) A Consent of the Assignee under 37 C.F.R. § 1.48(c); and
- (5) A Certificate of Right of Assignee under 37 C.F.R. § 3.75(b).

The Applicants respectfully request favorable consideration of this petition. If there are any additional charges, please charge Deposit Account No. 02-

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 10/09/01

2666.

André L. Marais Reg. No. 48,095

12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1026 (408) 947-8200 RECEIVED

OCT 1 9 2001

Technology Center 2100



Patent

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Colleen A. BARTON, et al.	) Examiner: Not yet assigned
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Box DAC Assistant Commissioner of Patents Washington, D.C. 20231	Name of Person Wailing Correspondence  Signature  Date
STATEMENT (	OF FACTS  RECEIVED  OCT 1.9 305
Sir:	OCT 1 9 305

- I, MARK ZOBACK, of Palo Alto, California, hereby state that:
- I contributed towards the conception of the invention entitled "Method and Logic for Capturing and Analyzing Conduit Data", which is the subject of the above referenced patent application.
- I was omitted as a listed inventor from the above-referenced application at the time of filing as a result of a misunderstanding regarding the requirements for inventorship.
- My omission as an inventor was an error that occurred without deceptive intention on my part.

4. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the ability of the above referenced application, or any patent issue thereon.

Date: 10-3-01

Mark Zoback

RECEIVED

OCT 1 9 2001

Technology Center 2100



## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

Μy	residence,	post	office	address	and	citizenshi	o are	as	stated	below,	next	to I	my	nan	ne
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I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND LOGIC FOR CAPTURING AND ANALYZING CONDUIT DATA the specification of which is attached hereto. was filed on (MM/DD/YYYY) September 8, 2000 United States Application Number 09/657,759 or PCT International Application Number\_\_\_ and was amended on (MM/DD/YYYY) \_\_ (if applicable) I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed Prior Foreign Application(s) No Number Country (Foreign Filing Date -Yes MM/DD/YYYY) (Foreign Filing Date -Yes Number Country MM/DD/YYYY) (Foreign Filing Date -Yes Number Country MM/DD/YYYY) I hereby claim the benefit under Title 35. United States Code. Section 119(e) of any United States provisional application(s) listed below: (Filing Date - MM/DD/YYYY) Application Number (Filing Date - MM/DD/YYYY) Application Number

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

09/340,216	6/25/99		Pending	**		
Application Number	r (Filing Date -	- MM/DD/YYYY)	Status	patented, pending,	abandoned	
Application Number	r (Filing Date -	- MM/DD/YYYY)	Status	patented, pending,	abandoned	
I hereby appoint the poof this document) as nand revocation, to prooffice connected here	ny respective patent a secute this application	ittorneys and pater	nt agents, i	with full po	wer of substitution	n
Send correspondence	e to <u>André L. Mara</u>	is,	BLAKEL	, SOKOL	OFF, TAYLOR &	i.
·	(Name of Attor	ney or Agent)				
ZAFMAN LLP, 12400 telephone calls to			igeles, Ca 720-8300.		025 and direct	
	(Name of Attorney of		, 20 0000.	•		
I hereby declare that	all statements made	horoin of my ow	n knowlos	dao aro tri	ue and that all	
statements made on						
statements were made	de with the knowled	ge that willful fals	e stateme	ents and the	he like so made	are
punishable by fine of Code and that such was						
patent issued thereo		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,		,
Full Name of Oals (Fina	Almondan Oallaan (	DADTON				
Full Name of Sole/Firs	it inventor <u>Colleen A</u>	A. BAHTON				
Inventor's Signature _	-del	nd	Date	10/.3	101	
Residence Portola V	/allev. California	Citiz	enship <u>L</u>	JSA		
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Post Office Address _	351 Grove Drive					
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Full Name of Second/	Joint Inventor <u>Danie</u>	HMOOS				
Inventorio Cianatura	W not	( ·	Date	<b>ι.</b> Ω	1-101	
Inventor's Signature _			Date	$-\frac{10}{1}$	13/01	_
Residence Palo Alto		Citiz	enship <u>l</u>			
	(City, State)			(	Country)	
Post Office Address _	925 Clara Drive					
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Full Name of Third/Joint Inventor <u>Mark ZOBACK</u>	
Inventor's Signature MCC	Date
	Citizenship USA (Country)
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Inventor's Signature	Date
Residence(City, State)	_ Citizenship(Country)
Post Office Address	
Full Name of Fifth/Joint Inventor	
Inventor's Signature	Date
Residence(City, State)	_ Citizenship(Country)
(City, State)  Post Office Address	, , ,
Full Name of Sixth/Joint Inventor	
Inventor's Signature	Date
Residence(City, State)	Citizenship(Country)
Post Office Address	(Country)
Full Name of Seventh/Joint Inventor	
Inventor's Signature	Date
Residence (City, State)	
Post Office Address	

#### APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Peggy S. Avalos, Reg. No. 42,274; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Jae-Hee Choi, Reg No. 45,288; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Mimi Diemmy Dao, Reg. No. 45,628; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; Mark J. Fink, Reg. No. 45,270; George Fountain, Reg. No. 37,374; Andre Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Alan Heimlich, Reg. No. P48,808; James A. Henry, Reg. No. 41,064; Libby H. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samual S. Lee, Reg. No. 42791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Richard A. Nakashima, Reg. No. 42,023; Stephen Neal Reg. No. 47,815; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. 45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43,876; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Ronald S. Tamura, Reg. No. 43,179; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No. 43,184; John F. Travis, Reg. No. 43,203; Kerry P. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Tom Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Archana B. Vittal, Reg. No. 45,182; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45,715; Charles P. Landrum, Reg. No. 46,855; Suk S. Lee, Reg. No. 47,745; and Raul Martinez, Reg. No. 46,904, Brent E. Vecchia, Reg. No. P48,011; Lehua Wang, Reg. No. P48,023; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

#### APPENDIX B

# Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



Docket No.: 003700.P002X Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Colleen A. BARTON, et al.	) ) Examiner: Not yet assigned
Serial No.: 09/657,759 )	Art Unit Group: 2131
Filing Date: September 8, 2000 )	) ) )
For: METHOD AND LOGIC FOR )	)
CAPTURING AND ANALYZING )	)
CONDUIT DATA	)

Box DAC Assistant Commissioner of Patents Washington, D.C. 20231

## CONSENT OF ASSIGNEE Under 35 C.F.R. § 1.48(a)

Sir:

Geomechanics International, a corporation of California, having its principal address at 250 Cambridge Ave., Suite 103, Palo Alto, CA 94306, assignee of the entire right, title and interest in and to U.S. patent application serial no. 09/657,759, filed September 8, 2000 for "Method and Logic for Capturing and Analyzing Conduit Data" whose assignee interest is supported by the enclosed Certificate of Right of Assignee under 37 C.F.R. § 3.73(b), and that the undersigned Colleen A. Barton, whose title is CEO, is a representative authorized to sign on behalf of Geomechanics International, hereby consents to the correction of inventorship of the present application that would add MARK ZOBACK as an inventor.

The correct joint inventors are accordingly Colleen A. Barton, Daniel Moos and Mark Zoback.

Date: 10/3/01

Colleen A. Barton

CEO

GEOMECHANICS INTERNATIONAL

Docket No.: 003700.P00

Patent

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In re Application of:	)
Colleen A. BARTON, et al.	) Examiner: Not yet assigned
Serial No.: 09/657,759	) Art Unit Group: 2131
Filing Date: September 8, 2000	) )
For: METHOD AND LOGIC FOR CAPTURING AND ANALYZING	) )
CONDUIT DATA	Š

**Box DAC** 

**Assistant Commissioner of Patents** 

Washington, D.C. 20231

## CERTIFICATE OF RIGHT OF ASSIGNEE Under 35 C.F.R. § 3.73(b)

Sir:

I, COLLEEN A. BARTON, CEO of Geomechanics International, a corporation of California, having a principal place of business at 250 Cambridge Ave., Suite 103, Palo Alto, CA 94306, and having the necessary legal authority to legally bind Geomechanics International with respect to the subject of certification, hereby certify on behalf of Geomechanics International that Geomechanics International is the assignee of the entire right, title and interest in the above identified patent application by virtue of the attached assignments (executed September 5, 2000), and submitted September 8, 2000.

An attached Notice of Recordation of Assignment Document indicates that the attached assignments have been recorded under reel and frame numbers 011095 and 641 by the USPTO.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the ability of the above referenced application, or any patent issue thereon.

Date: 10/3/01

Colleen A. Barton

CEO

GEOMECHANICS INTERNATIONAL